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22399 U.S.P.T.O.
10/824826

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53 (b))

APPLICATION ELEMENTS

See MEP chapter 600 concerning utility patent application contents.

Attorney Docket No. N02.3003C1

First Inventor Kyungae Lee

Title Substituted Tetrahydroisoquinolines as C5a Receptor Modulators

Express Mail Label No. ER586113460US

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 121] /
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 1]

5. Oath or Declaration [Total Pages 3] /

- a. Newly executed (original or copy) 15. Certified Copy of Priority Document(s)
- b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

7. 71 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - i. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. (if foreign property is claimed)

16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other:.....Express Mail Information.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.:10/401,135.....

Prior application information: Examiner..... Zina Northington Davis Group Art Unit- 1625

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name Ann T. Kadlecck

Address Neurogen Corporation, 35 Northeast Industrial Road

City Branford State CT Zip Code 06405

Country U.S.A. Telephone 203-315-4644 Fax 203-488-4710

Name (Print/Type) Ann T. Kadlecck Registration No. (Attorney/Agent) 39,244

Signature  Date April 15, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. N02.3003C1)

In Re Application of: Kyungae Lee, et al.)
)
) Examiner: Not yet assigned
)
Serial No. : Not yet assigned)
)
Filing Date: 04/15/2004)
)
For: Substituted Tetrahydroisoquinolines as) Art Unit: Not yet assigned
 C5a Receptor Modulators)
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL INFORMATION

Attached hereto are the following papers which are being sent by Express Mail Post Office To Addressee Service to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria VA, 22313-1450 on April 15, 2004, with Express Mail No. ER586113460US:

1. Utility Patent Application Transmittal Form (1 page)
2. Application Data Sheet (1 page)
3. Patent Application Entitled "Substituted Tetrahydroisoquinolines as C5a Receptor Modulators" (120 pages)
4. Abstract (1 page)
5. Fee Transmittal Form (2 pages; 2 copies)
6. Check for \$514 (1 check)
7. Verified Statement Claiming Small Entity Status (2 pages)
8. Preliminary Amendment (7 pages)
9. Declaration and Power of Attorney (3 pages)
10. Return postcard

Respectfully submitted:
Ann Kadlecak
Reg. No. 39,244
Neurogen Corp.
35 NE Industrial Rd.
Branford, CT 06405

4/15/2004
Date


Signed

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. N02.3003C1)

In Re Application of: Kuyungae Lee, et al.)
)
)
) Examiner: Not yet assigned
)
Serial No. :)
)
)
Filing Date: 04/15/2004)
)
)
For: Substituted Tetrahydroisoquinolines) Art Unit: Not yet assigned
 as C5a Receptor Modulators)
)

US Express Mailing No. ER586113460US

FEE TRANSMITTAL FORM

TOTAL AMOUNT OF PAYMENT: \$514.00

METHOD OF PAYMENT

1. Payment Enclosed
 Check
 Money Order
 Other

2. The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account Number 501116.

Neurogen Corporation
35 Northeast Industrial Road
Branford, CT 06405
203-488-8201

FEE CALCULATION1. Basic filing fee

Small entity fee code	Small entity fee	Fee Description	Fee Paid
2001	385	Filing Fee	\$385.00

Subtotal (1) \$385.002. Extra Claim Fees

Small entity fee code	Small entity fee	Fee Description	Fee Paid

Subtotal (2) _____

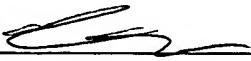
3. Additional Fees

Small entity fee code	Small entity fee	Fee Description	Fee Paid
2201	129	3 Independent claims in excess of three	\$129.00

4/15/2004

Date

By:


Ann Kadlecik
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